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WVDOH  
IMPLEMENTATION  
2006 AASHTO LRFD  
SECTION 10 INTERIMS

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# Background

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- Mid 2005
  - Comprehensive LRFD foundation policy
  - Specific to Section 10
    - Spread Footers
    - Drilled Shafts
    - Piling
- 80-90% Complete
- Recent '06 interims
  - NCHRP 24-17, aka NCHRP 507



# Current Policy/General Site

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- State Policy:
  - *“All bridge foundations shall bear on competent rock”*
    - 70 to 80% of the state, rock within the first 15'-20'
    - 20 to 30%, 30'-50' of overburden
- Sedimentary Rock
  - shale
  - siltstone
  - claystone
  - sandstone

# Highlights

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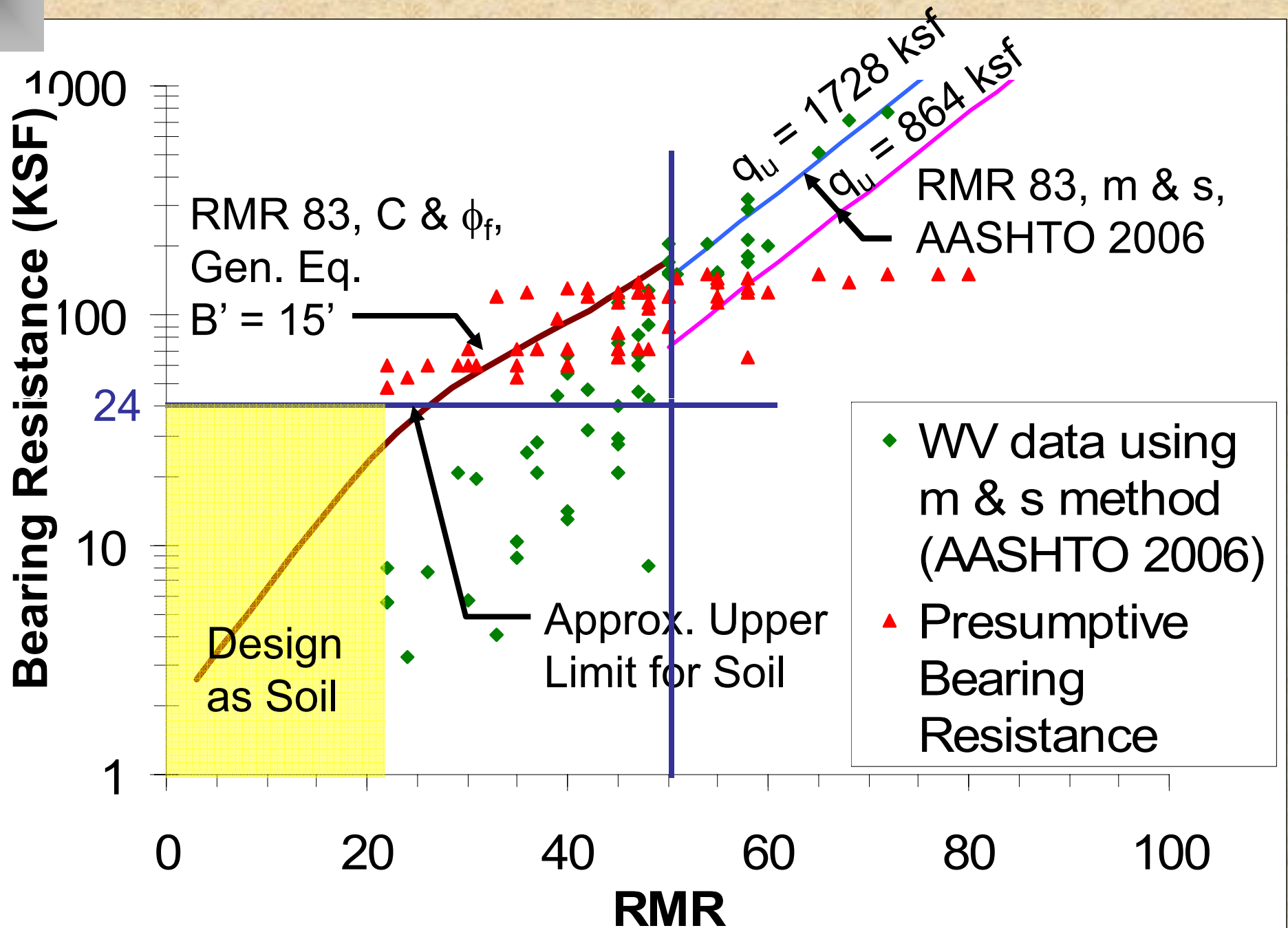
- RMR 83
  - Uniform and comprehensive approach to characterize rock
  - RMR parameters latter used in the resistance equations
- Characterization Criteria for Rock
- Methods used to check Limit States
- Design equations
- Design examples
- dBase tracking system



# RMR 83

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- Transition from Uc/RQD/Presumptive methods and go towards RMR 83
  - Uniform and comprehensive approach to characterize rock
  - RMR values are latter used in the resistance equations
- Correlated previously developed geotechnical data and AASHTO presumptive values
- Taking a “three tier” approach w/ RMR
  - $RMR > 50$
  - $20 < RMR < 50$
  - $RMR < 20$  (soil)



# Spread Footings

Strength Limit State: *nominal bearing resistance*

- Nominal Bearing Resistance ( $q_n$ )

[RMR > 50]

$$q_n = \left[ \sqrt{s} + \sqrt{(m\sqrt{s} + s)} \right] U_c \quad \text{eqn. 10.8.3.5.4c-2}$$

m & s: use RMR value with Table 10.4.6.4-4

[20 < RMR < 50]

$$q_n = cN_{cm} + .5g\gamma BN_{\gamma m} \quad \text{eqn. 10.6.3.1.2a-1}$$

$$c = 104 \times RMR$$

$$\phi_f = 5 + \frac{RMR}{2}$$



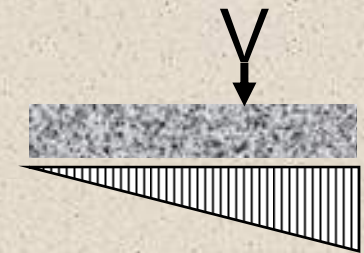
# Spread Footings

Strength Limit State: *nominal bearing resistance*

- Nominal Bearing Capacity cont...

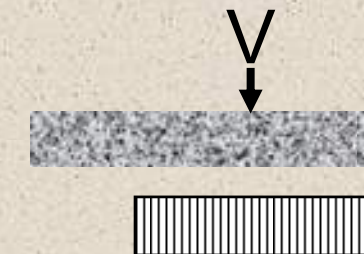
[RMR > 50]

- $(q_n)$  compared to the applied Strength Limit bearing stress from triangular/trapezoidal distribution



[20 < RMR < 50]

- Water table correction factors not required
- Generally, surcharge won't be used
- $(q_n)$  compared to the applied Strength Limit bearing stress using equivalent  $B'$





# Spread Footings

Strength Limit State: *sliding* 10.6.3.4

- Sliding
  - Generally  $\delta = 30-35^\circ$
  - Resistance Factor  $\phi = 0.90$ 
    - $DL/LL > 3.0$

Table C10.4.6.4-1. Typical ranges of friction angles for smooth joints in a variety of rock types (Modified after Barton, 1976; Jaeger and Cook, 1976)

Rock Class	Friction Angle Range	Typical Rock Types
Low Friction	20–27°	Schists (high mica content), shale, marl
Medium Friction	27–34°	Sandstone, siltstone, chalk, gneiss, slate
High Friction	34–40°	Basalt, granite, limestone, conglomerate

Note: Values assume no infilling and little relative movement between joint faces.

# Spread Footings

Service Limit State: *Vertical Movement*

- Vertical Movement
  - Per 10.6.2.4.4 to calculate settlement,
  - Use 10.4.6.5 to calculate  $E_m$

$$\rho = q_0 (1 - \nu^2) \frac{B' I_p}{144 E_m}$$

$$E_m (ksi) = 145 \left( 10^{\frac{RMR-10}{40}} \right)$$

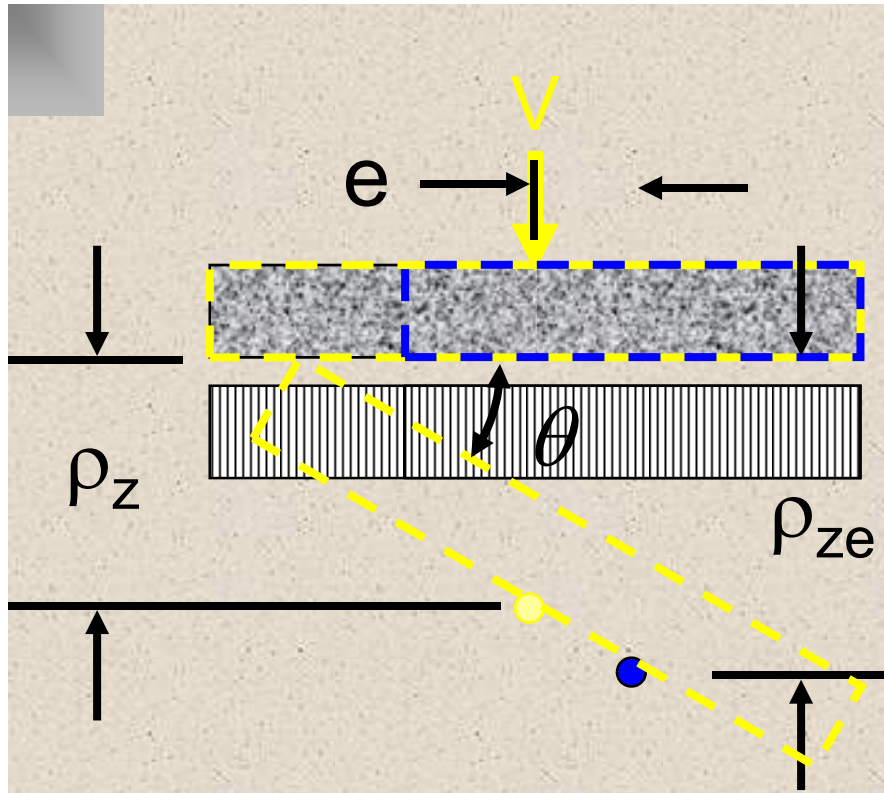


# Spread Footings

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Service Limit State: *Rotational Movement*

- **Rotational Movement**
  1. Compute displacement at the center of footing assuming a concentric loading (uniform load over entire footing area)
  2. Compute displacement at the center of the effective footing area.
  3. Compute rotation in each direction independently



Center Displacement -  
(AASHTO 10.6.2.4.2-1)

$$\rho_z = \frac{(1 - \nu^2) * V}{E_m \beta_z} \left( \frac{\sqrt{BL}}{BL} \right)$$

Eccentric Displacement -

$$\rho_{ze} = \frac{(1 - \nu^2) * V}{E_m \beta_z} \left( \frac{\sqrt{(B - 2e_b)L}}{(B - 2e_b)L} \right)$$

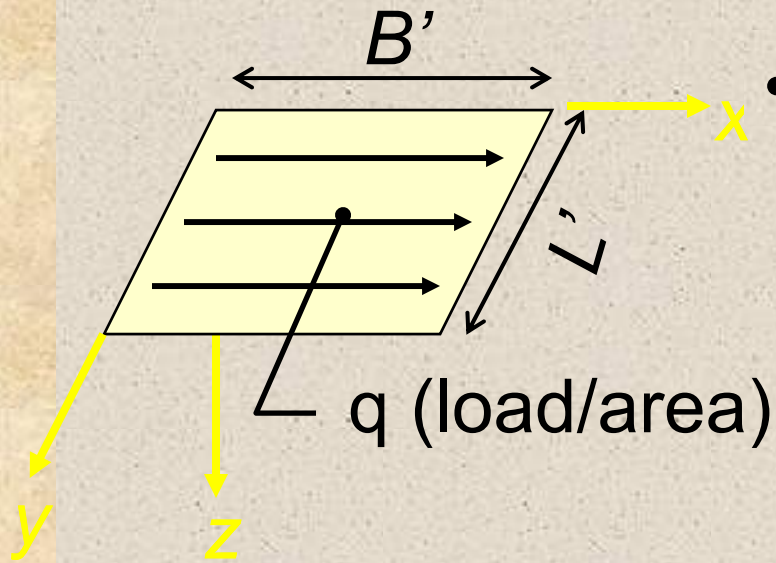
Footing Rotation -

$$\theta = \tan^{-1} \left( \frac{\rho_{ze} - \rho_z}{e_b} \right)$$



# Spread Footings

Service Limit State: *Horizontal Movement*



- Horizontal Movement

Horizontal displacement ( $\Delta x$ ) at the center ( $B/2$ ) of the rectangular loaded area is given by the following equation (Giroud, 1969)

$$\Delta x = \frac{1 + \nu}{\pi E_m} q B' \left[ 2(1 - \nu) \ln \frac{L' + \sqrt{B'^2 + L'^2}}{B'} + \frac{L'}{B'} \ln \frac{B' + \sqrt{B'^2 + L'^2}}{-B' + \sqrt{B'^2 + L'^2}} \right]$$

Elastic modulus of rock mass

# Drilled Shafts

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# Drilled Shafts

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Strength Limit State

- **Combine both end bearing and side resistance**
- **Use soil-interaction for lateral resistance when site conditions permit**

# Drilled Shafts

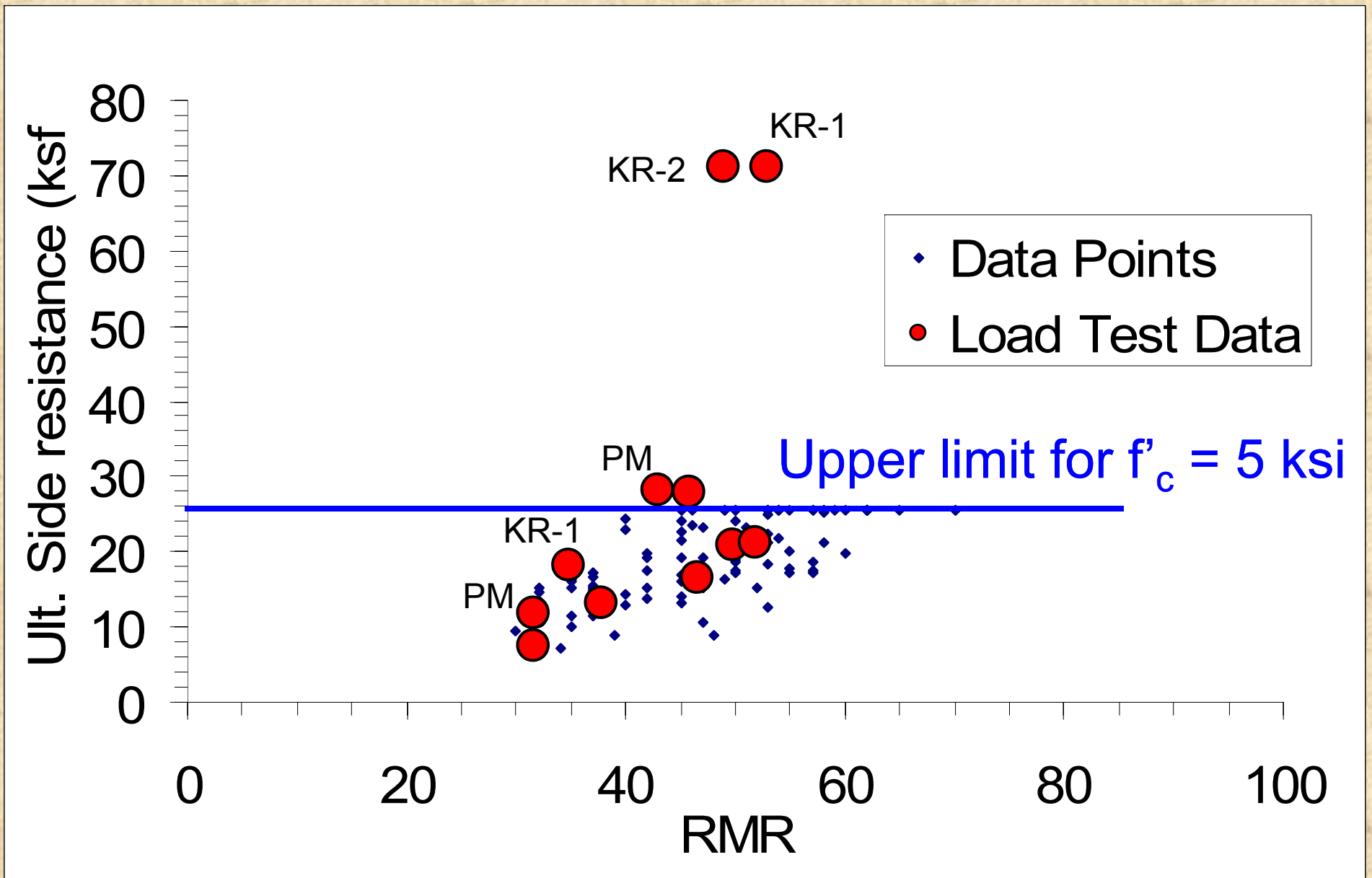
Strength Limit State: *nominal side resistance*

- AASHTO LRFD 10.8.3.5.4b-1

$$q_s = 0.65\alpha_E p_a \sqrt{\left(\frac{q_u}{p_a}\right)} \leq 7.8 p_a \sqrt{\left(\frac{f'_c}{p_a}\right)}$$



# Side Resistance Correlation



# Drilled Shafts

Strength Limit State: *nominal bearing resistance*

- Nominal End Bearing Resistance ( $q_n$ )

[RMR > 50]

$$q_n = \left[ \sqrt{s} + \sqrt{(m\sqrt{s} + s)} \right] U_c \quad \text{eqn. 10.8.3.5.4c-2}$$

m & s: use RMR value with Table 10.4.6.4-4

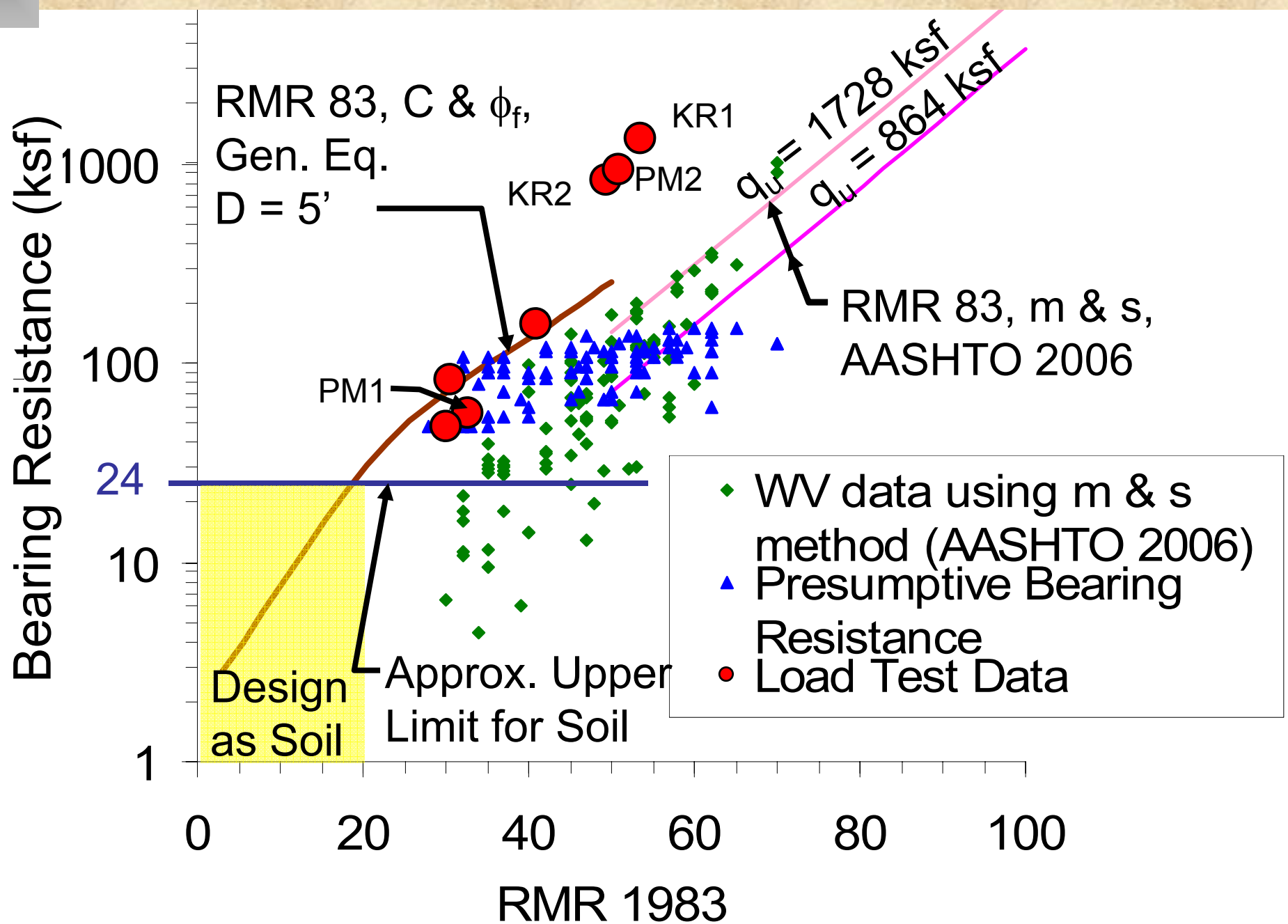
[20 < RMR < 50]

$$q_n = cN_{cm} + .5g\gamma BN_{\gamma m} \quad \text{eqn. 10.6.3.1.2a-1}$$

$$c = 104 \times RMR$$

$$\phi_f = 5 + \frac{RMR}{2}$$





# Drilled Shafts

Strength Limit State: *combined side and end*

- 80:20, 70:30 “rules of thumb”
- FHWA-IF-99-025 (O’Neil & Reese) Appendix C

$$w_T = w_{TI} + \Delta w \quad \text{where} \quad (C.35)$$

$$w_{TI} + \Delta w = F_3 (Q_T / \pi E_m B) - F_6 B$$

$$F_3 = a_1 (\lambda_1 B C_3 - \lambda_2 B C_4) - 4 a_3,$$

$$F_4 = \left[ 1 - a_1 \left( \frac{\lambda_1 - \lambda_2}{D_4 - D_3} \right) B \right] a_2 \left( \frac{c}{E_m} \right)$$

$$a_1 = (1 + \nu_{\text{concrete}}) \ln [5 (1 - \nu) (D/B)] + a_2 \quad (C.39)$$

$$a_2 = [(1 - \nu_{\text{concrete}}) (E_m/E_d) + (1 + \nu)] [1 / (2 \tan \phi \tan \psi)] \quad (C.40)$$

$$\lambda_1 = [-\beta + (\beta^2 + 4\alpha)^{0.5}] / 2\alpha \quad (C.41)$$

$$\lambda_2 = [-\beta - (\beta^2 + 4\alpha)^{0.5}] / 2\alpha \quad (C.42)$$

$$\beta = a_3 [E_c/E_m] B \quad (C.43)$$

$$a_3 = [\nu_{\text{concrete}} / 2 \tan \psi] (E_m/E_d) \quad (C.44)$$

$$\alpha = a_1 (E_c/E_m) (B^2/4) \quad (C.45)$$

$$C_3 = D_3 / (D_4 - D_3)$$

$$C_4 = D_4 / (D_4 - D_3)$$

$$D_3 = [\pi(1 - \nu^2) (E_m/E_d) + 4a_3 + a_1 \lambda_2 B] \exp(\lambda_2 D)$$

$$D_4 = [\pi(1 - \nu^2) (E_m/E_d) + 4a_3 + a_1 \lambda_1 B] \exp(\lambda_1 D)$$

$$w_T = F_3 [Q_T / \pi E_m B] + F_6 B \quad (C.53)$$

in which

$$F_5 = 4a_3 - a_1 (\lambda_1 B C_3 - \lambda_2 B C_4) \quad (C.54)$$

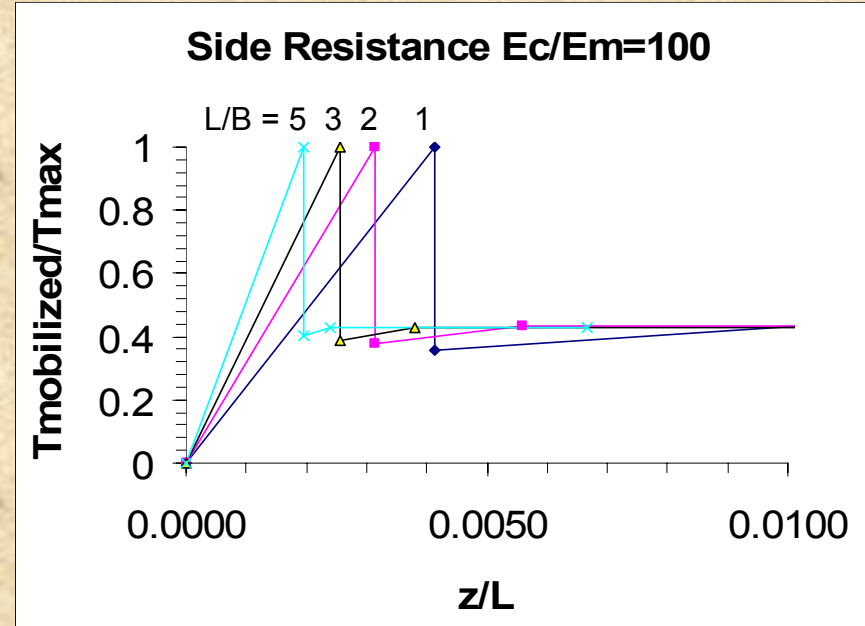
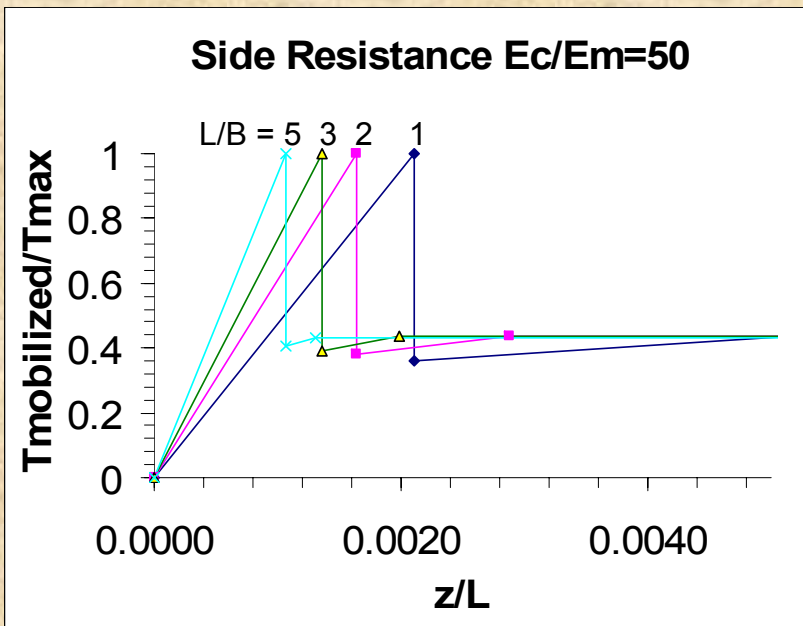
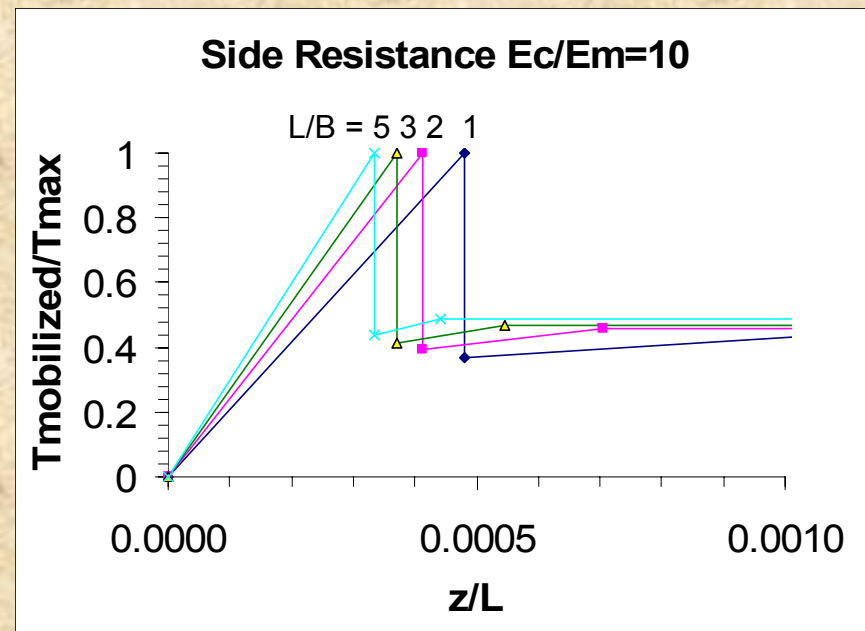
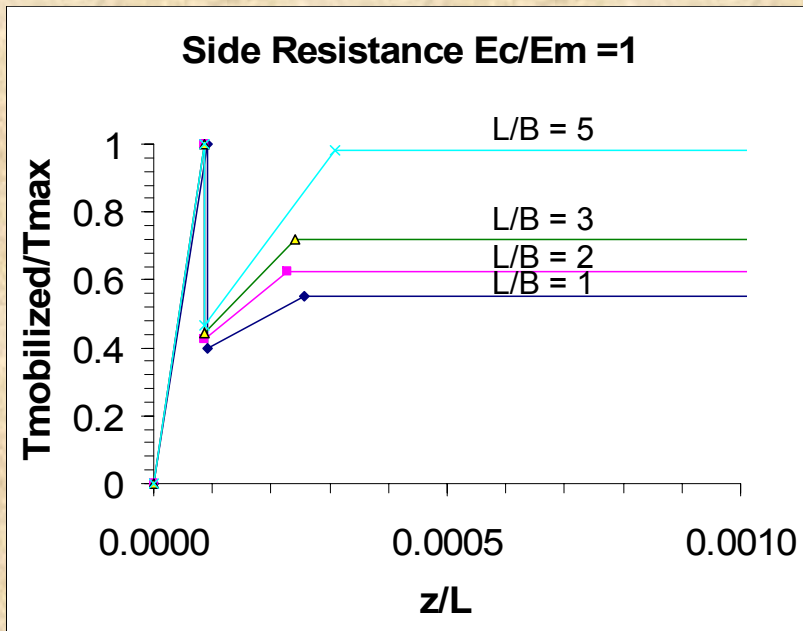
$$F_6 = a_2 (c/E_m) \quad (C.55)$$

$$C_5 = \exp[-\lambda_2 D] / \{\exp[-\lambda_1 D] - \exp[-\lambda_2 D]\} \quad \text{and} \quad (C.56)$$

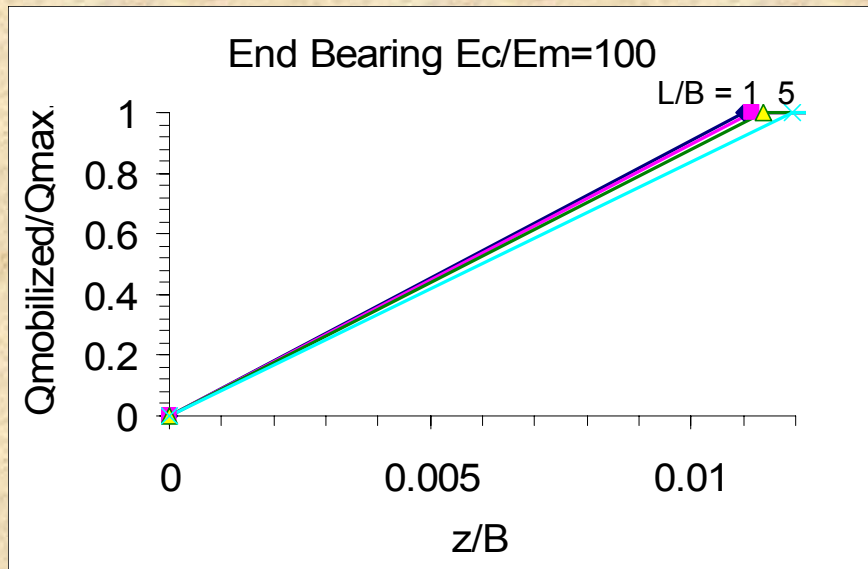
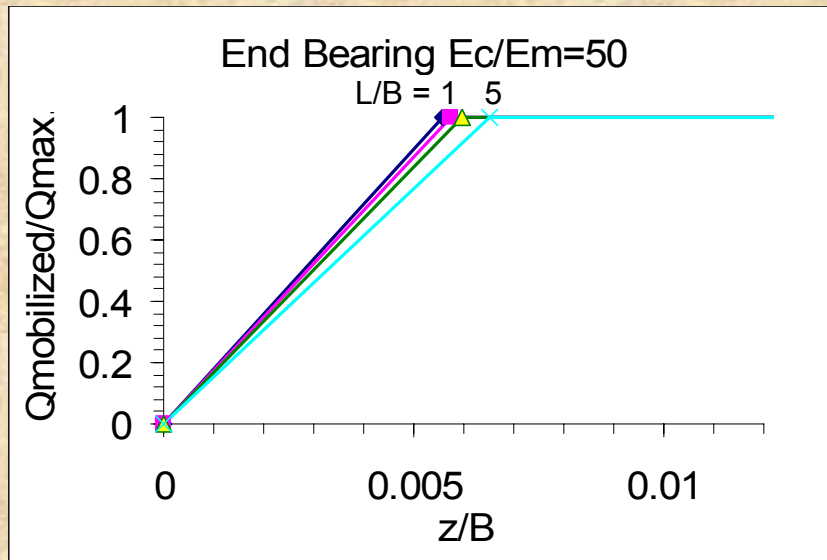
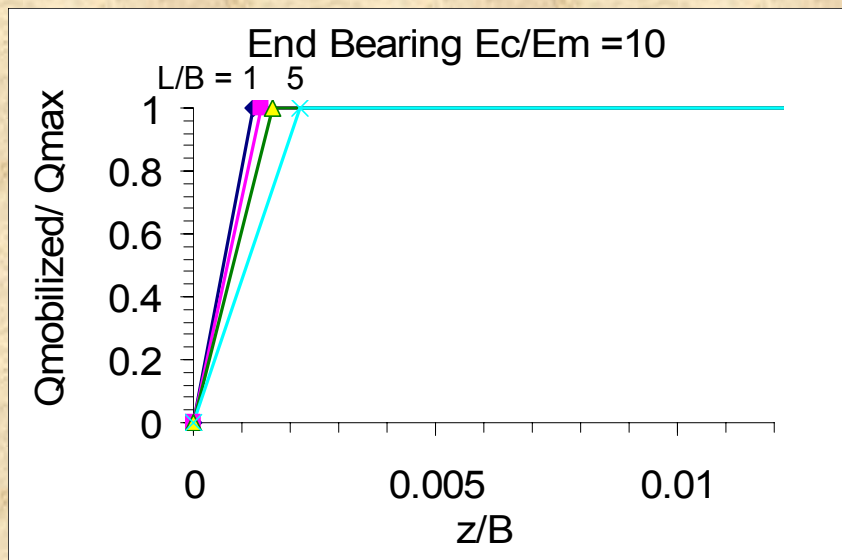
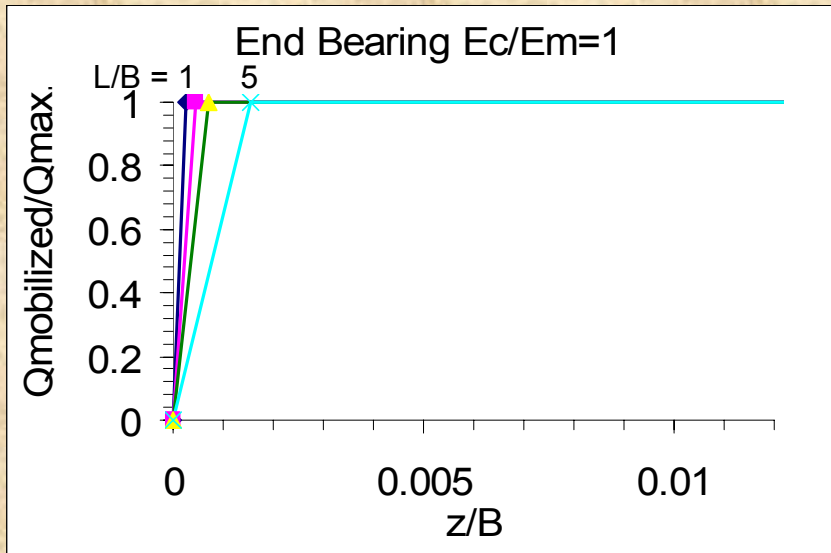
$$C_6 = \exp[-\lambda_1 D] / \{\exp[-\lambda_1 D] - \exp[-\lambda_2 D]\} \quad (C.57)$$



# Recommendation for T-z plots



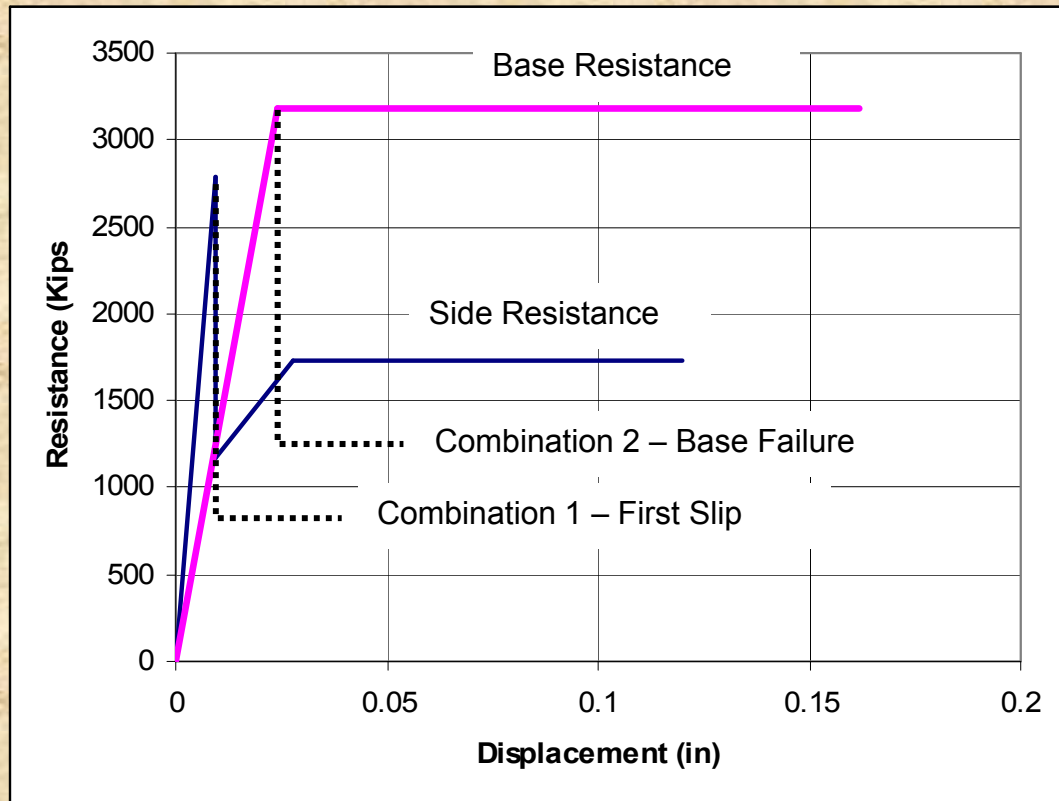
# Recommendation for Q-z plots





# Drilled Shafts

Strength Limit State: *combined side and end*



**Resistance  
&  
Displacement Relation**  
(4.5' dia. x 10' long rock socket)

Side Control

$$R_s = 2784$$
$$R_p = 1200$$
$$R_T = 3984 \text{ kips}$$

End Control

$$R_s = 1600$$
$$R_p = 3180$$
$$R_T = 4780 \text{ kips}$$

# P-y curves

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Service Limit State: *lateral*

- P-y in soil
- P-y in rock
  - P-y relationship proposed by Liang
  - Correlation if applicable to WV foundation material



# P-y curves

NCHRP Synthesis 360 (2006)

## Methods of Analysis

P-y analysis = 29

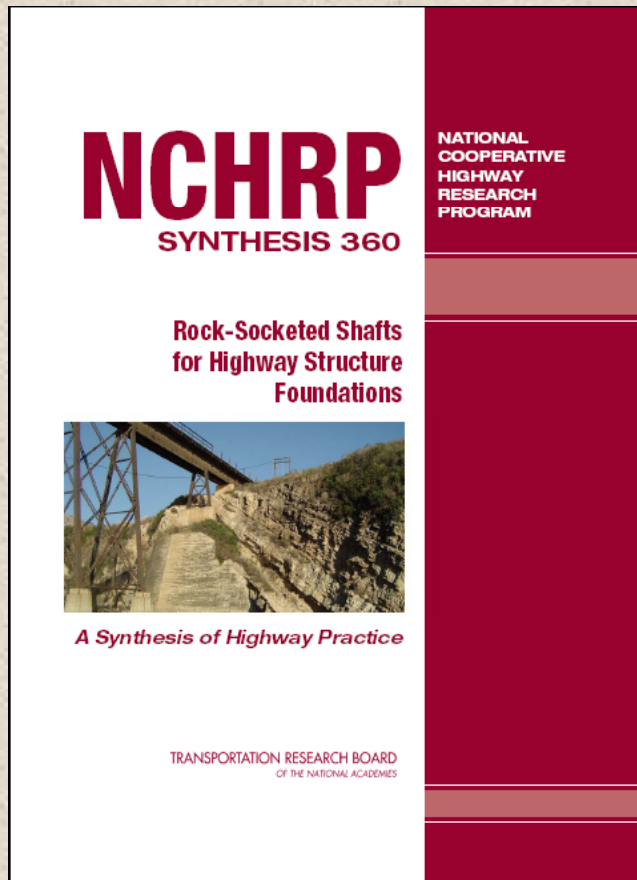
COM624 = 17

FB-Pier = 8

L-Pile = 23

Reese 1997 criteria = 25

States Responding = 33



# P-y response curves for Rock

1978 Vuggy Limestone Nyman Reese



1987 Sandstone & Shale KDOT



1997 Weak Rock Reese



2002 Rock Gabr (NC)



2004 Florida Limestone McVay



2006 Rock Liang (OH)



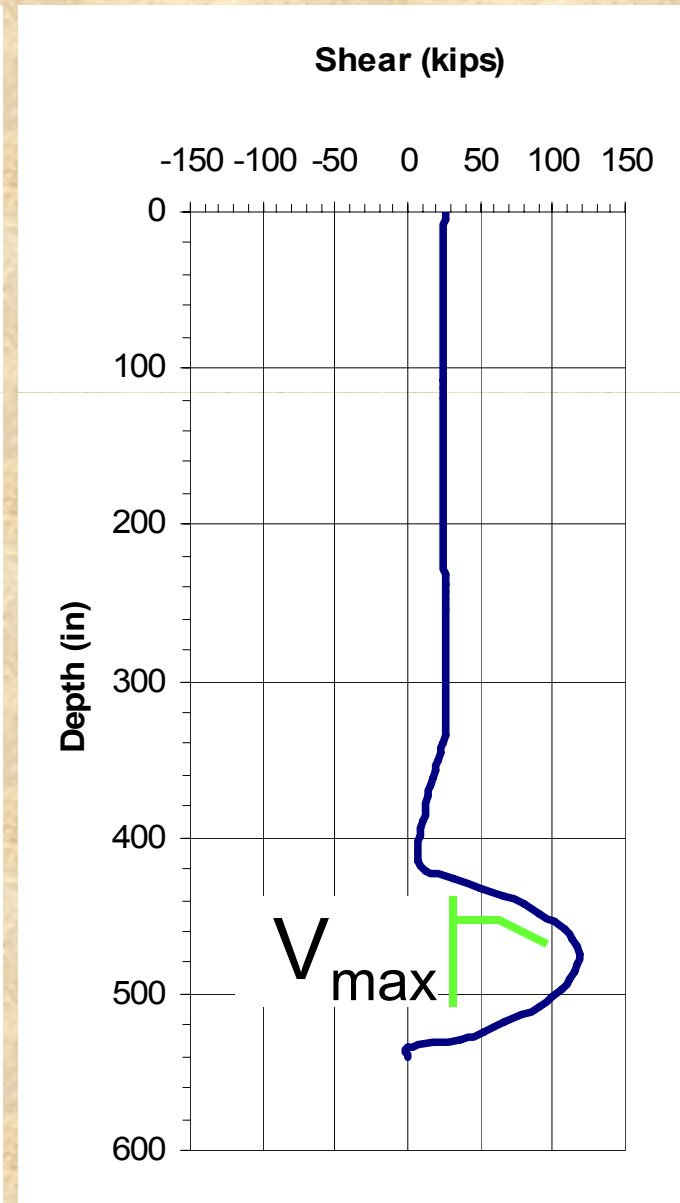
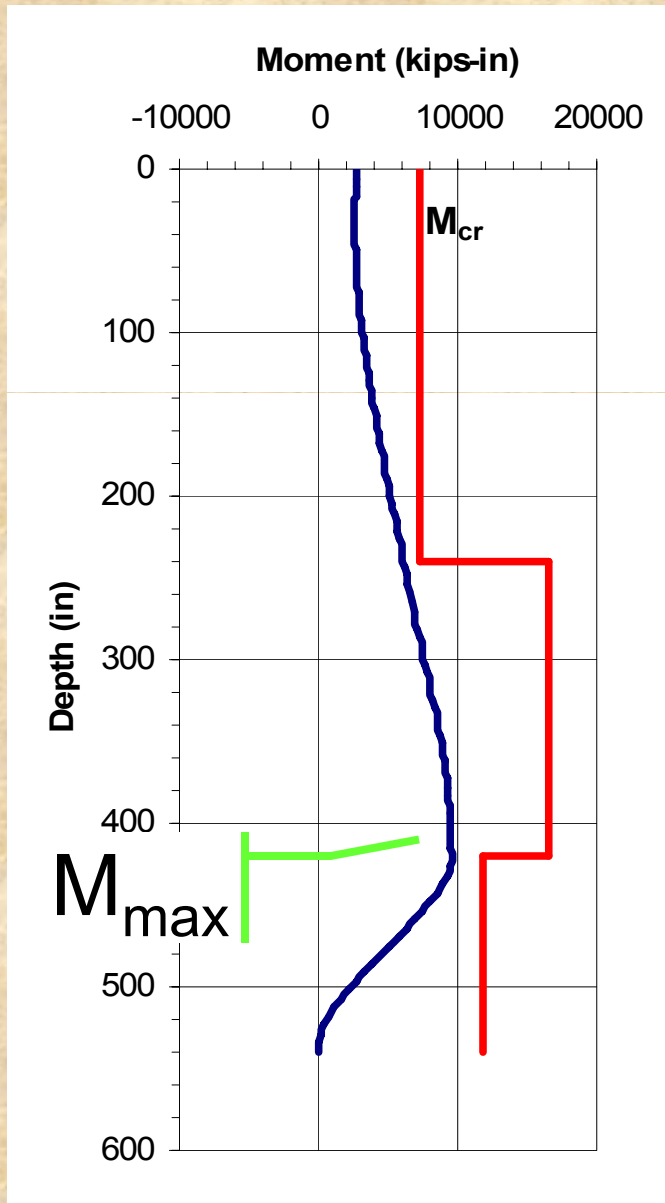
2006 Basalt WA



2006 All Vu (WY)

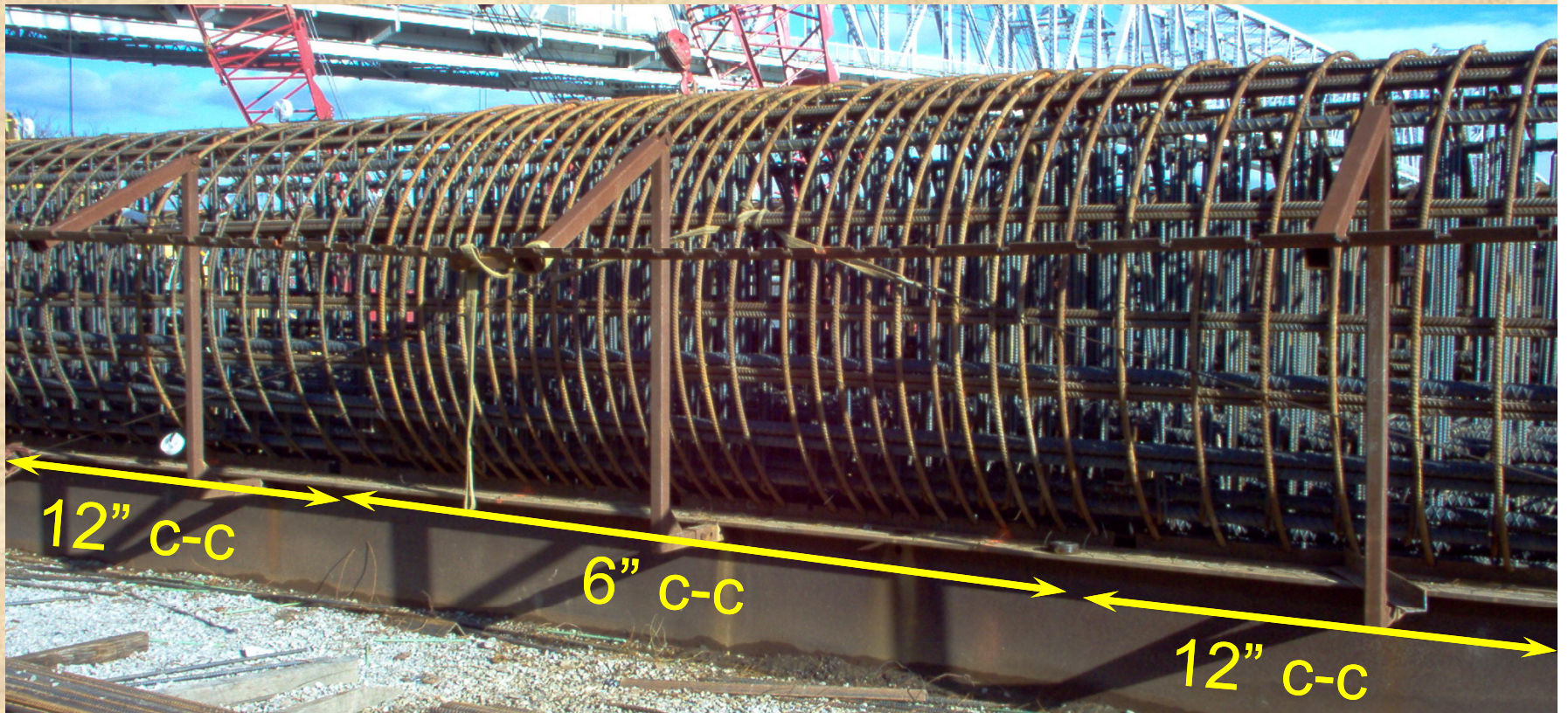


# P-y Results





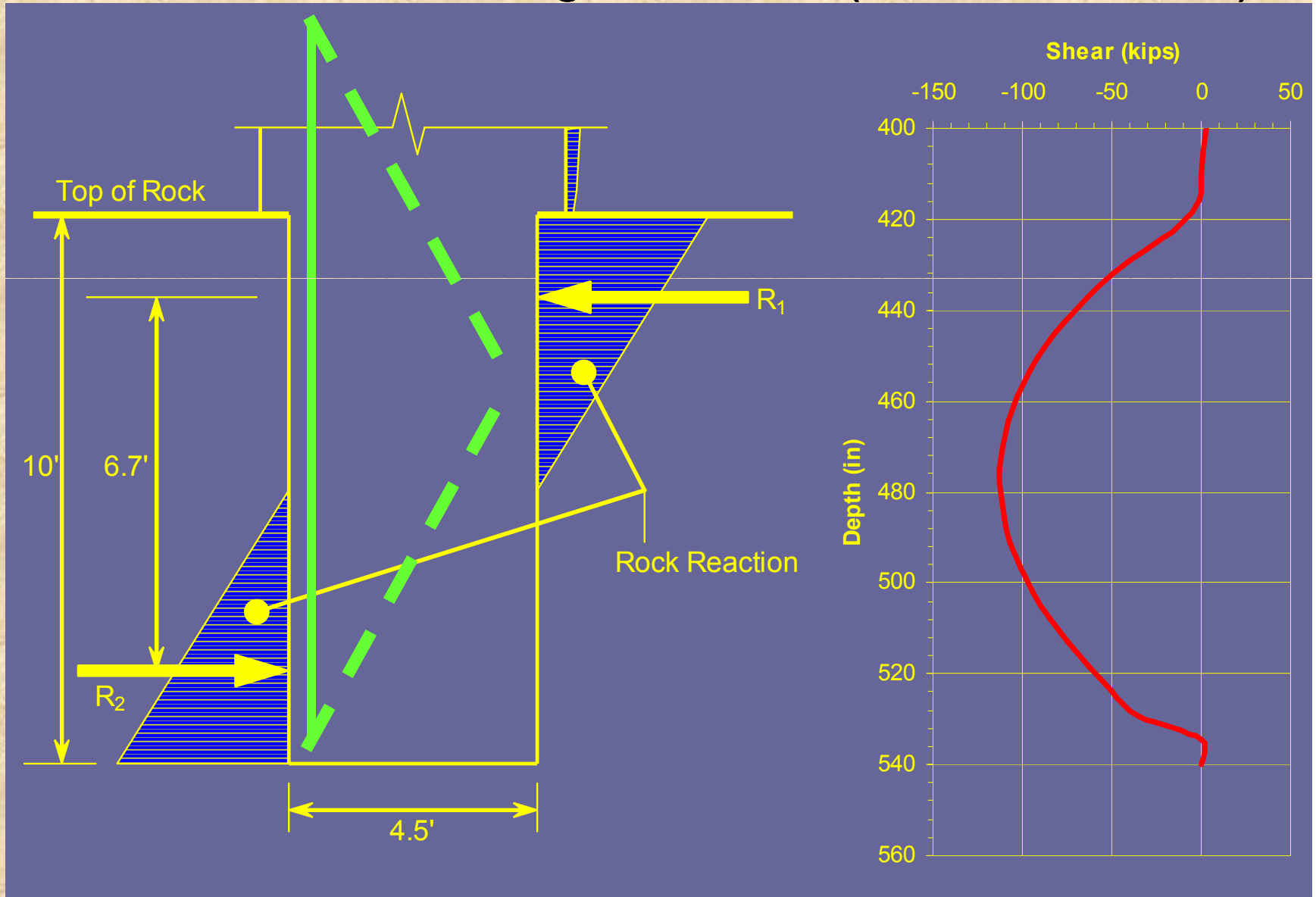
# P-y Results



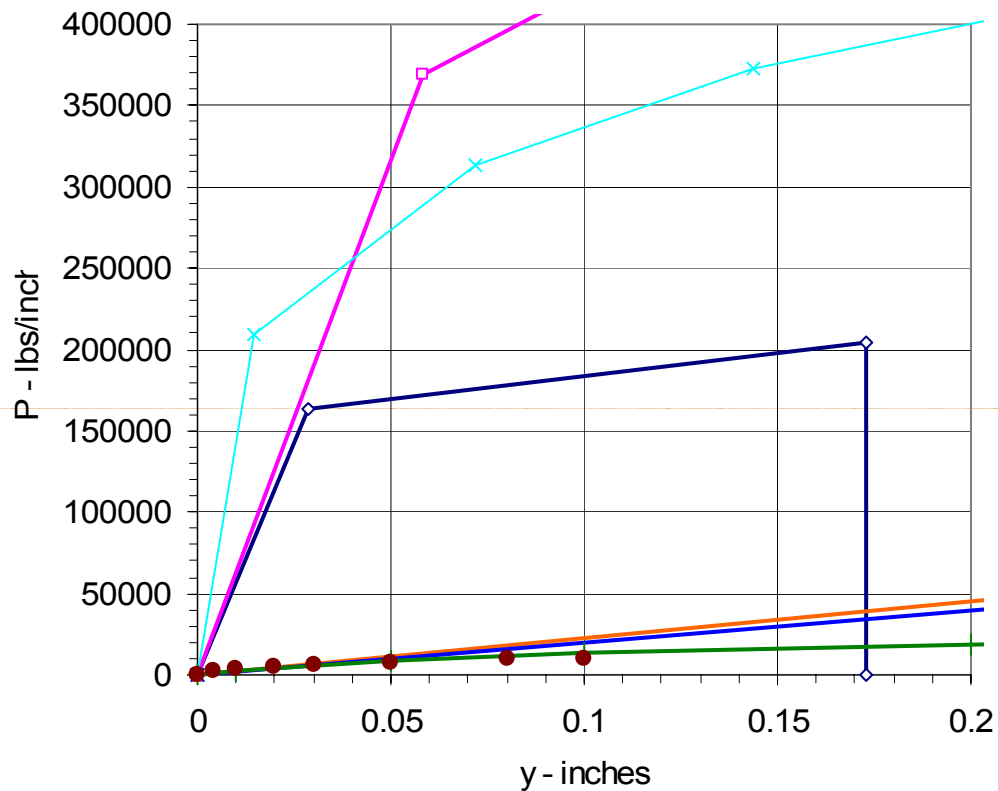


# High Shear

## Strut and Tie Model for Structural Design of Shaft (AASTHO 5.6.3)



# Dayton Load test at 3' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 5668 \text{ psi}$$

$$E_m = 38142 \text{ psi}$$

$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RQD} = 8$$

### Liang 2006

$$q_u = 5668 \text{ psi}$$

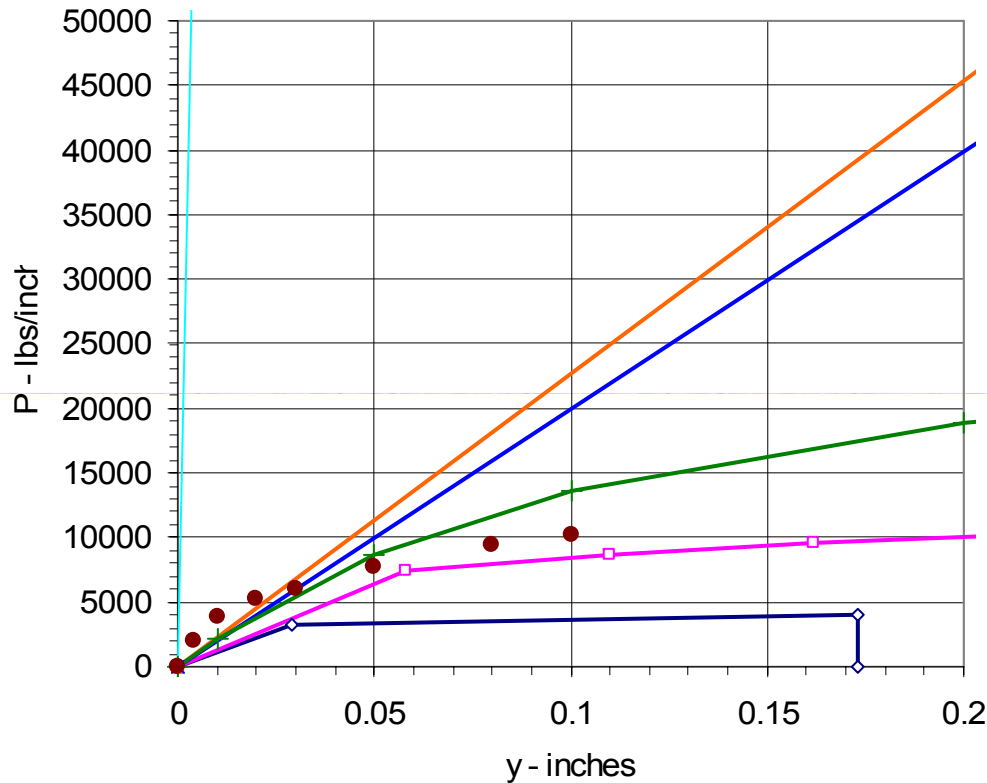
$$E_i = 590000 \text{ psi}$$

$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RMR/GSI} = 40$$

$$m_i = 6$$

# Dayton Load test at 3' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 5668 \text{ psi} \times 0.02$$

$$E_m = 38142 \text{ psi} \times 0.02$$

$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RQD} = 8$$

### Liang 2006

$$q_u = 5668 \text{ psi}$$

$$E_i = 590000 \text{ psi}$$

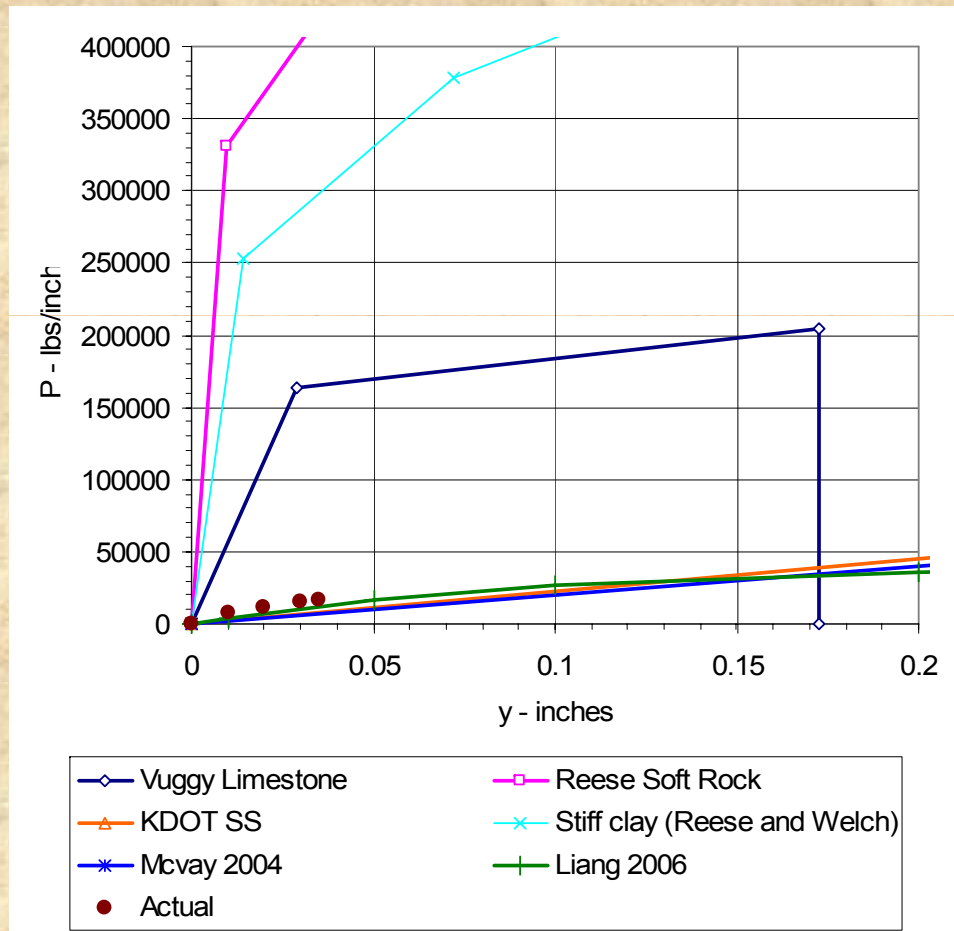
$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RMR/GSI} = 40$$

$$m_i = 6$$



# Dayton Load test at 11' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 5668 \text{ psi}$$

$$E_m = 98102 \text{ psi}$$

$$\gamma_r = 0.038 \text{ pci}$$

$$RQD = 8$$

### Liang 2006

$$q_u = 5668 \text{ psi}$$

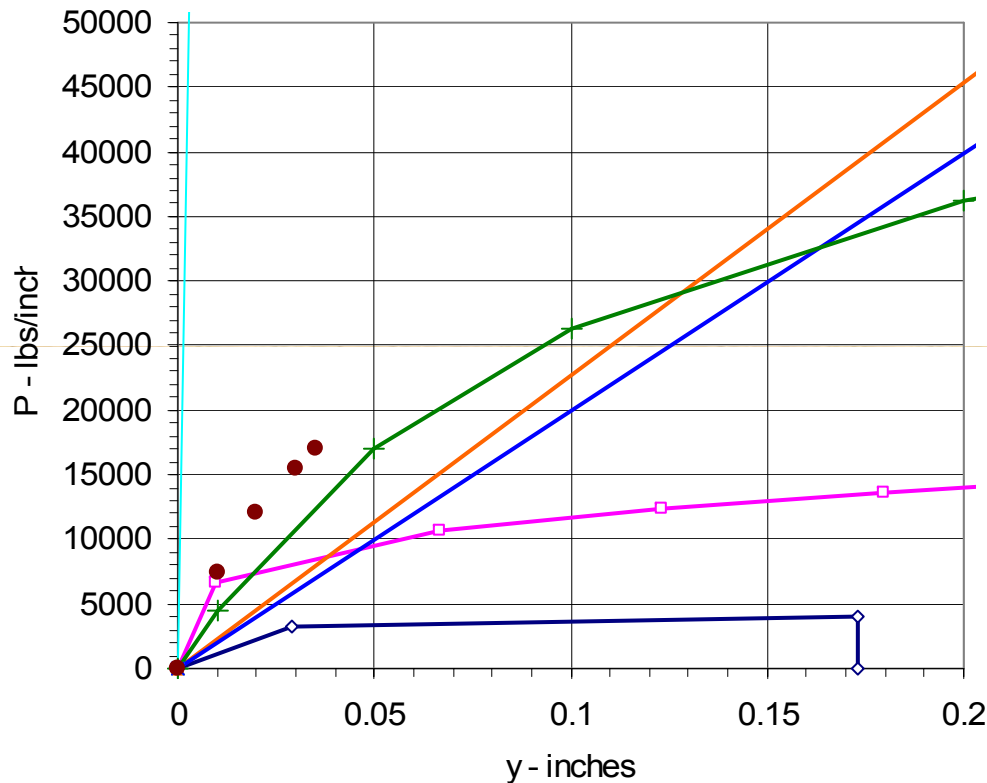
$$E_i = 590000 \text{ psi}$$

$$\gamma_r = 0.038 \text{ pci}$$

$$RMR/GSI = 61$$

$$m_i = 6$$

# Dayton Load test at 11' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 5668 \text{ psi} \times 0.02$$

$$E_m = 98102 \text{ psi} \times 0.02$$

$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RQD} = 8$$

### Liang 2006

$$q_u = 5668 \text{ psi}$$

$$E_i = 590000 \text{ psi}$$

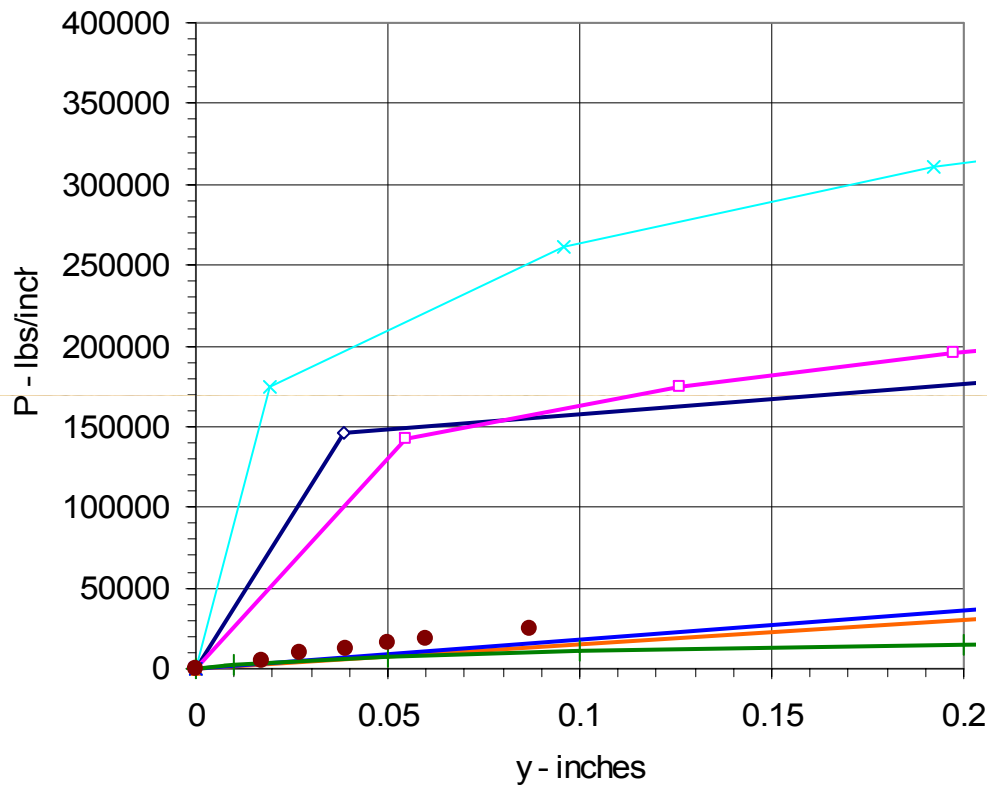
$$\gamma_r = 0.038 \text{ pci}$$

$$\text{RMR/GSI} = 61$$

$$m_i = 6$$



# Pomeroy Mason #2 at 0.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi}$$

$$E_m = 23885 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

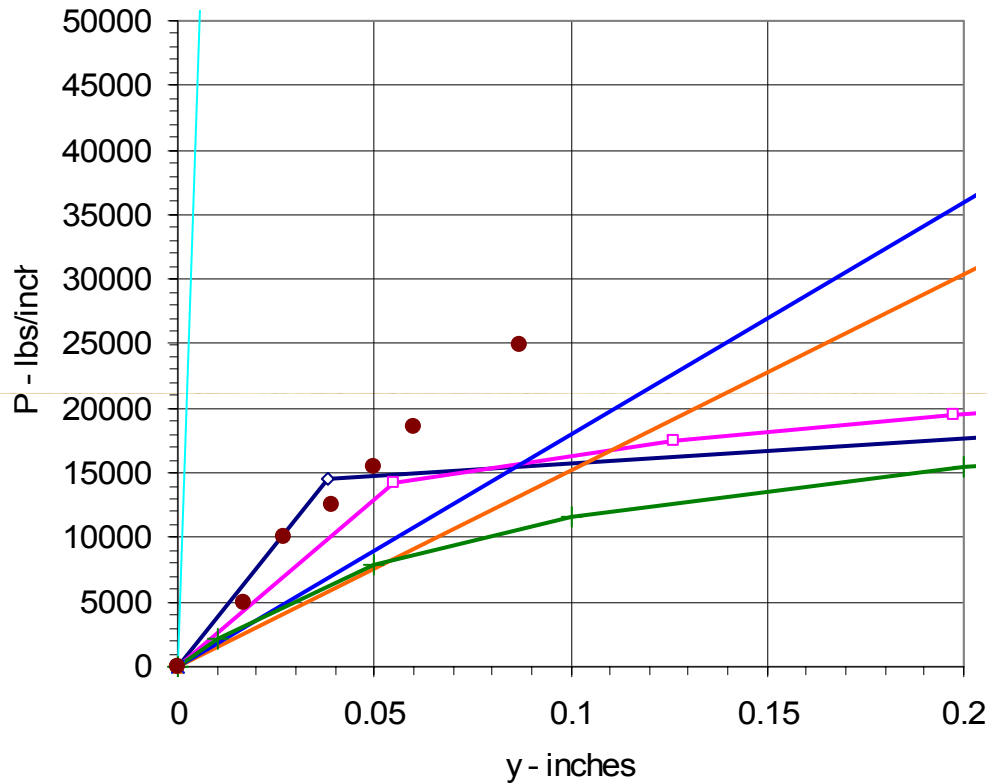
$$E_i = 914888 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$

# Pomeroy Mason #2 at 0.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi} \times 0.1$$

$$E_m = 23885 \text{ psi} \times 0.1$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

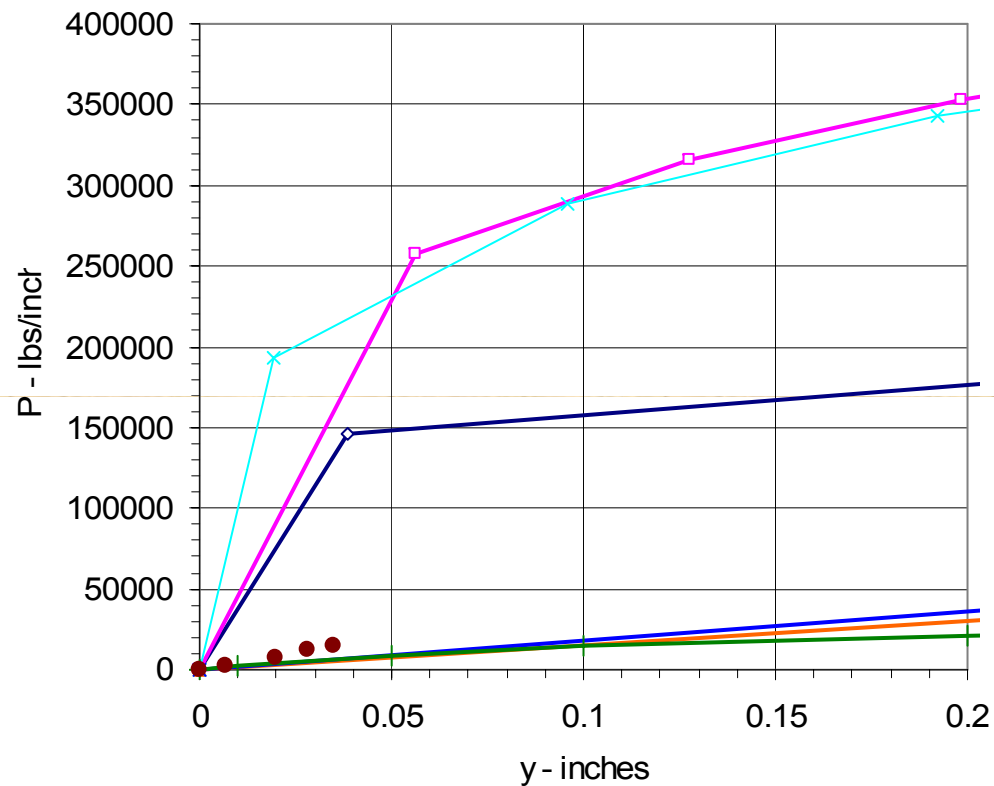
$$E_i = 914888 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$

# Pomeroy Mason #2 at 5.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi}$$

$$E_m = 23885 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

$$E_i = 914888 \text{ psi}$$

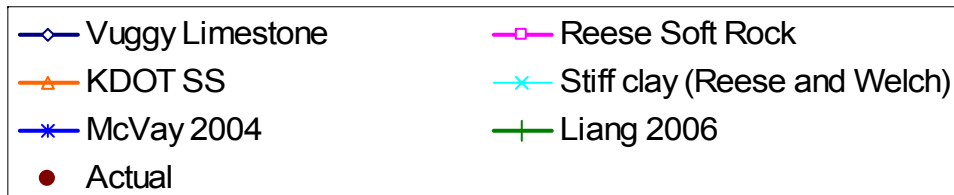
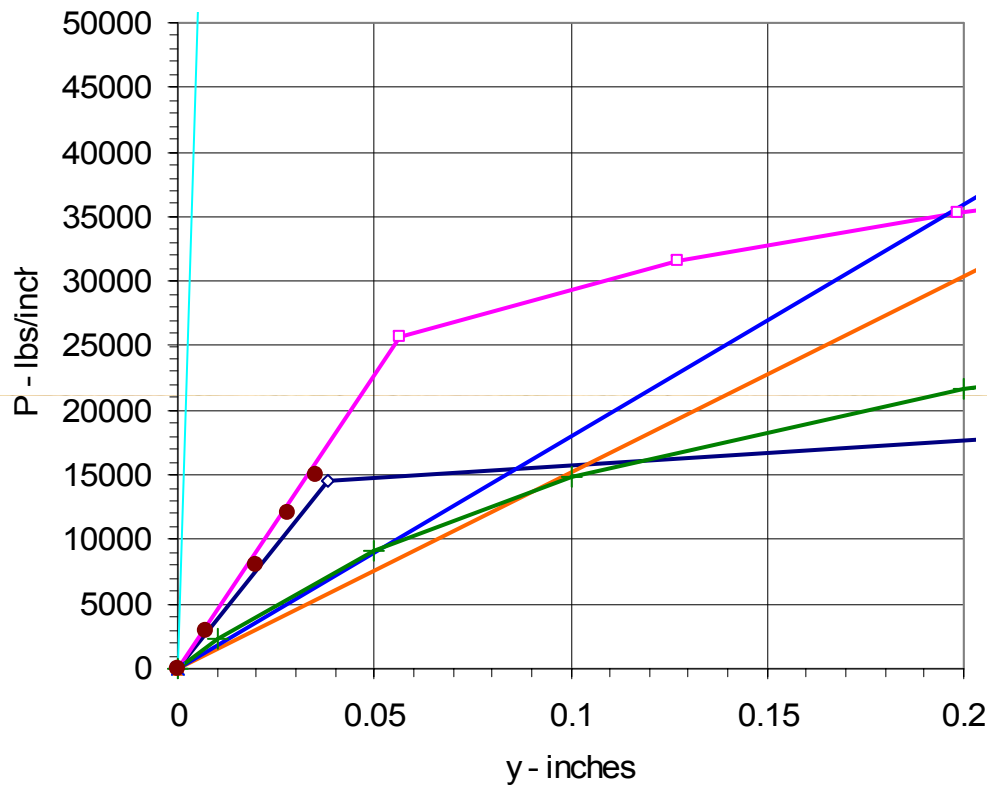
$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$



# Pomeroy Mason #2 at 5.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi} \times 0.1$$

$$E_m = 23885 \text{ psi} \times 0.1$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

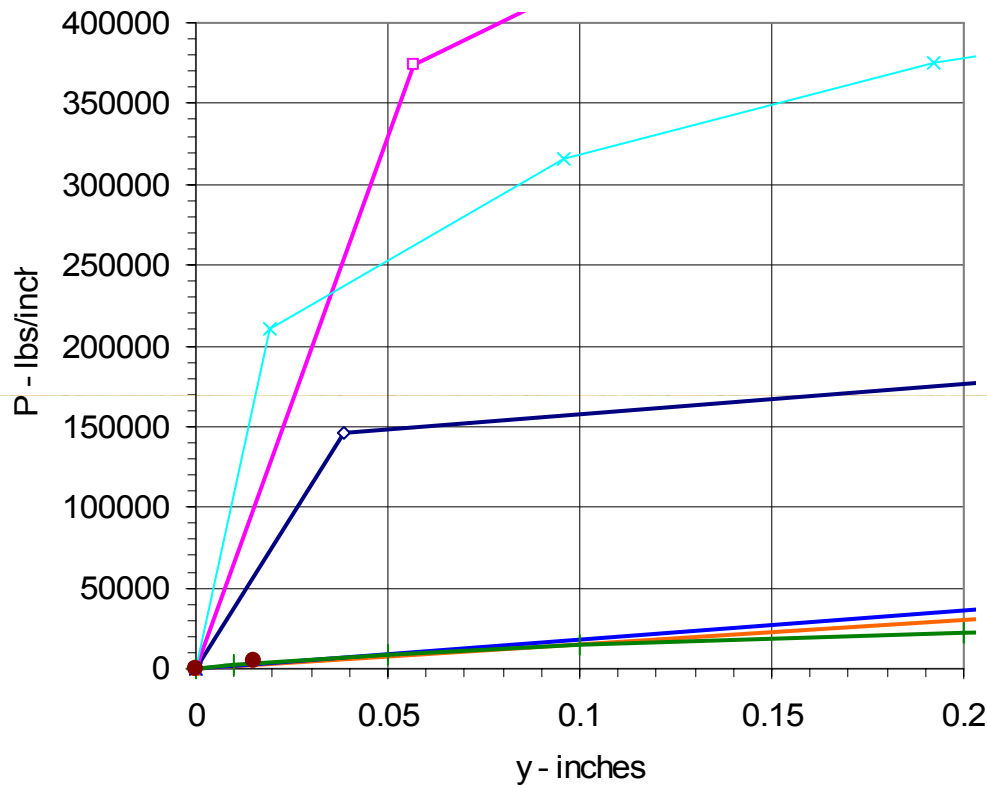
$$E_i = 914888 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$

# Pomeroy Mason #2 at 10.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi}$$

$$E_m = 23885 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

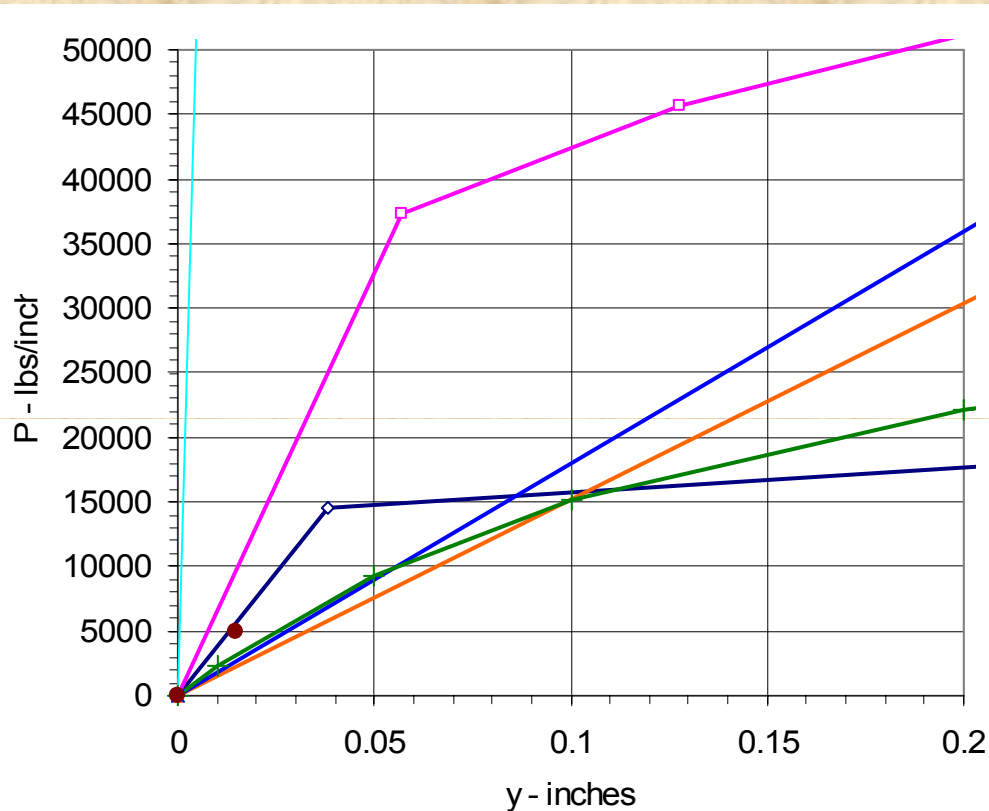
$$E_i = 914888 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$

# Pomeroy Mason #2 at 10.5' depth



## Input Rock Properties

### Reese & Vuggy LS

$$q_u = 3797 \text{ psi} \times 0.1$$

$$E_m = 23885 \text{ psi} \times 0.1$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RQD} = 44$$

### Liang 2006

$$q_u = 3797 \text{ psi}$$

$$E_i = 914888 \text{ psi}$$

$$\gamma_r = 0.059 \text{ pci}$$

$$\text{RMR/GSI} = 42$$

$$m_i = 6$$



# Cont....

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- **Pile Policy**
  - Wave Equation analysis
    - Preliminary analysis, assumed driving equipment
    - Verification analysis, contractor's actual equipment